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PTO/SB/01 (12-97)

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**☒ Declaration Submitted with Initial Filing OR ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 17224 (AP)

First Named Inventor John Sefton

**COMPLETE IF KNOWN**

Application Number /

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TAZAROTENE AND CORTICOSTEROID TREATMENT  
FOR PSORIASIS

the specification of which

(Title of the invention)

☐ is attached hereto  
OR☒ was filed on (MM/DD/YYYY) Feb. 20, 1998 as United States Application Number or PCT International

Application Number 98/03355 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
98/03355	PCT/US	02/20/1998	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	
60/039,151	02/20/1997	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

(Page 1 of 2)

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/01 (12-97)

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**DECLARATION — Utility or Design Patent Application**

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
PCT/US98/03355	02/20/1998	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number 

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer  
Number Bar Code  
Label here

Name	Registration Number	Name	Registration Number
BARAN, Robert J.	25,806	DONOVAN, Stephen	33,433
FISHER, Carlos A.	36,510		
VOET, Martin A.	25,208		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

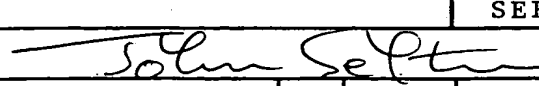
Direct all correspondence to: ☐ Customer Number  OR ☒ Correspondence address below

Name	Robert J. BARAN, Esq. Reg. No. 25,806				
Address	c/o Allergan Sales, Inc.				
Address	2525 Dupont Drive, T2-7H				
City	Irvine	State	CA	ZIP	92612
Country	USA	Telephone	(714) 246-4669	Fax	(714) 246-4249

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))		Family Name or Surname	
John		SEFTON	
Inventor's Signature			Date
Residence: City	Trabuco Canyon	State	CA
		Country	USA
Post Office Address	20462 Rose Canyon Road		
Post Office Address	P. O. Box 714		
City	Trabuco Canyon	State	USA
		ZIP	92678
		Country	USA

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto



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Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

DECEMBER 05, 2000

PTAS

ROBERT J. BARAN, ESQ.  
PO BOX 19534  
2525 DUPONT DRIVE  
T2-7H  
IRVINE, CA 92612



\*101487161A\*

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RECORDATION DATE: 08/22/2000

REEL/FRAME: 011144/0193  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
SEFTON, JOHN

DOC DATE: 08/17/2000

ASSIGNEE:  
ALLERGAN SALES, INC.  
2525 DUPONT DRIVE  
PO BOX 19534  
IRVINE, CALIFORNIA 92612

SERIAL NUMBER: 09367712  
PATENT NUMBER:

FILING DATE: 08/18/1999  
ISSUE DATE:

TONYA LEE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



HEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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101487161

attached original documents or copy thereof.

To the Honorable Commissioner of Patents and Trademarks	
1. Name of conveying party(ies): John Sefton Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input type="checkbox"/> No	
2. Name and address of receiving party(ies): Name: Allergan Sales, Inc. Internal Address: P. O. Box 19534 Street Address: 2525 Dupont Drive, T2-7H Irvine, CA 92612 City: Irvine State: CA ZIP: 92612 Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other Execution Date: August 17, 2000	
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 09/367,712 B. Patent No.(s) Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Robert J. Baran, Esq. Internal Address: P. O. Box 19534 Street Address: 2525 Dupont Drive, T2-7H City: Irvine, State: CA ZIP 92612	
6. Total number of applications and patents involved: 1	
7. Total fee (37 CFR 3.41): \$ 40.00 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account	
8. Deposit account number: 01-0885 (Attach duplicate copy of this page if paying by deposit account)	
DO NOT USE THIS SPACE	
9. Statement and Signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Robert J. Baran Name of Person Signing Signature: <i>RJ Baran</i> Date: August 17, 2000 Total number of pages comprising cover sheet: 3	

OMB No. 0651-0011 (exp. 4/94)

17224 (AP)

CERTIFICATE OF MAILING  
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS, ON THE DATE SHOWN BELOW, BEING  
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CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX ASSIGNMENT, COMMISSIONER OF PATENTS  
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Signature of Person Making Deposit: *Mary Lou McNown* Printed Name of Person Making  
Deposit: Mary Lou McNown Date: AUG 2000

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ASSIGNMENT

LEGAL/PATENTS

WHEREAS I, JOHN SEFTON of ORANGE COUNTY, CALIFORNIA, (hereinafter referred to as ASSIGNOR), have invented and own a certain invention corresponding to PCT patent application entitled: TAZAROTENE AND CORTICOSTEROID TREATMENT FOR PSORIASIS directed thereto and rights to pursue similar legal protection in any and all foreign countries under the PCT.

WHEREAS: Allergan Sales, Inc., having its principal place of business at 2525 Dupont Drive, Irvine, CA 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire right, title and interest in, to and under said invention and in, to and under International Patent Applications filed on such inventions under the Patent Cooperation Treaty (PCT) and in, and to and under corresponding Letters Patent or similar legal protection to be obtained on such International Applications in any and all foreign countries under the (PCT).

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalent thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

August 17, 2000.

John Sefton  
JOHN SEFTON

State of CALIFORNIA )  
 ) ss:  
County of ORANGE )

On August 17, 2000, before me, Mary Lou McNown, notary public, personally appeared JOHN SEFTON ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Mary Lou McNown  
Notary Public





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PATENT AND  
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LEGAL/PATENTS

AUGUST 26, 2003

PTAS

Deputy Under Secretary of Commerce For Intellectual Property and  
Deputy Director of the United States Patent and Trademark Office

Washington, DC 20231

www.uspto.gov

ALLERGAN, INC.  
MARTIN A. VOET  
2525 DUPONT DRIVE  
IRVINE, CALIFORNIA 92612



\*102416576A\*

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RECORDATION DATE: 04/07/2003

REEL/FRAME: 013898/0170

NUMBER OF PAGES: 17

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ALLERGAN SALES, INC. (MERGED INTO  
ALLERGAN SALES, LLC 6/3/2002)

DOC DATE: 03/31/2003

ASSIGNEE:

ALLERGAN, INC.  
2525 DUPONT DRIVE  
IRVINE, CALIFORNIA 92612

SERIAL NUMBER: 10104899

PATENT NUMBER:

FILING DATE: 03/22/2002

ISSUE DATE:

SERIAL NUMBER: 10008722

PATENT NUMBER:

FILING DATE: 12/06/2001

ISSUE DATE:

SERIAL NUMBER: 10365082

PATENT NUMBER:

FILING DATE: 02/11/2003

ISSUE DATE:

SERIAL NUMBER: 10108714

PATENT NUMBER:

FILING DATE: 03/28/2002

ISSUE DATE:

013898/0170 PAGE 2

SERIAL NUMBER: 09903954  
PATENT NUMBER:

FILING DATE: 07/12/2001  
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SERIAL NUMBER: 09998358  
PATENT NUMBER: 6610744

FILING DATE: 11/29/2001  
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FILING DATE: 04/03/2002  
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FILING DATE: 08/02/2001  
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FILING DATE: 04/12/2002  
ISSUE DATE:

SERIAL NUMBER: 09882720  
PATENT NUMBER:

FILING DATE: 06/14/2001  
ISSUE DATE:

SERIAL NUMBER: 10103301  
PATENT NUMBER: 6538018

FILING DATE: 03/20/2002  
ISSUE DATE: 03/25/2003

SERIAL NUMBER: 10346828  
PATENT NUMBER:

FILING DATE: 01/16/2003  
ISSUE DATE:

SERIAL NUMBER: 10294521  
PATENT NUMBER:

FILING DATE: 11/13/2002  
ISSUE DATE:

SERIAL NUMBER: 09956470  
PATENT NUMBER:

FILING DATE: 09/18/2001  
ISSUE DATE:

SERIAL NUMBER: 09918847  
PATENT NUMBER:

FILING DATE: 07/31/2001  
ISSUE DATE:

SERIAL NUMBER: 09904753  
PATENT NUMBER:

FILING DATE: 07/13/2001  
ISSUE DATE:

SERIAL NUMBER: 09893159  
PATENT NUMBER:

FILING DATE: 06/26/2001  
ISSUE DATE:

SERIAL NUMBER: 09942098  
PATENT NUMBER:

FILING DATE: 08/28/2001  
ISSUE DATE:

SERIAL NUMBER: 09942024  
PATENT NUMBER:

FILING DATE: 08/28/2001  
ISSUE DATE:

SERIAL NUMBER: 10104385  
PATENT NUMBER:

FILING DATE: 03/22/2002  
ISSUE DATE:

SERIAL NUMBER: 09954610  
PATENT NUMBER:

FILING DATE: 09/17/2001  
ISSUE DATE:

SERIAL NUMBER: 10143076  
PATENT NUMBER:

FILING DATE: 05/07/2002  
ISSUE DATE:

SERIAL NUMBER: 10017817  
PATENT NUMBER:

FILING DATE: 12/14/2001  
ISSUE DATE:

SERIAL NUMBER: 10016850  
PATENT NUMBER:

FILING DATE: 12/14/2001  
ISSUE DATE:

SERIAL NUMBER: 10016036  
PATENT NUMBER:

FILING DATE: 12/13/2001  
ISSUE DATE:

SERIAL NUMBER: 10100638  
PATENT NUMBER:

FILING DATE: 03/19/2002  
ISSUE DATE:

SERIAL NUMBER: 10082691  
PATENT NUMBER:

FILING DATE: 02/25/2002  
ISSUE DATE:

SERIAL NUMBER: 10133094  
PATENT NUMBER:

FILING DATE: 04/26/2002  
ISSUE DATE:

SERIAL NUMBER: 10099239  
PATENT NUMBER:

FILING DATE: 03/15/2002  
ISSUE DATE:

SERIAL NUMBER: 10099602  
PATENT NUMBER:

FILING DATE: 03/14/2002  
ISSUE DATE:

SERIAL NUMBER: 10143078  
PATENT NUMBER:

FILING DATE: 05/10/2002  
ISSUE DATE:

RECORDATI

P

04-11-2003



102416576

4-7-03

To: The Commissioner of Patents and Trademarks,

Please record the attached original document(s) or copy(ies):

RECEIVED

SEP 02 2003

LEGAL/PATENTS

 OFFICE OF PUBLIC RECORDS  
 2003 APR -7 PM 2:19  
 FINANCE SECTION

## 1. Submission Type:

☒ new☐ Correction of PTO error (Reel /frame )☐ Corrective Document (Reel /frame )

## 2. Conveyance Type:

☒ Assignment☐ License☐ Merger☐ Security Agreement☐ Change of Name☐ Other: \_\_\_\_\_

3.

CONVEYING PARTIES	
Names of Conveying Parties	Date of Conveyance
1. Allergan Sales, Inc. (merged into Allergan Sales, LLC 6/3/2002)	March 31, 2003
2.	
3.	

☐ Additional Conveying Parties Attached

4.

RECEIVING PARTIES
Names of Receiving Parties
Name Allergan, Inc.
Address 1 2525 Dupont Drive
Address 2 Irvine, CA 92612

☐ Additional Receiving Parties Attached
☐ If document is an assignment and the Receiving Party is not domiciled in the United States, an appointment of a Domestic Representative is attached.

04/11/2003 ECDOPER 00000008 010885 10104899

01 FC:8021 4440.00 CH

5.

DOMESTIC REPRESENTATIVE NAME AND ADDRESS
Name
Address 1
Address 2

6.

CORRESPONDENCE NAME AND ADDRESS
Name Martin A. Voet (T2-7H)
Address 1 Allergan, Inc.
Address 2 2525 Dupont Drive, Irvine, CA 92612
Telephone 714-246-5894 and Fax 714-246-4249

7. Total Number of pages of the conveying document, including attachments: 17 pages

8.

APPLICATION NUMBER OR PATENT NUMBER (either; not both for same property)	
Application Number see attached Appendix A (3 pages)	Patent Number <u>10104899</u>
Application Number	Patent Number

9. If this document is being filed with a NEW patent application, enter the Docket No., Title of the Invention, and date of execution of the Assignment by the first inventor:

Title of Patent Application: \_\_\_\_\_  
Docket No.: \_\_\_\_\_  
Date of Execution by First Inventor: \_\_\_\_\_

10. Total Number of Properties Involved: 11111. The fee amount (37 CFR §3.41) of \$ 4,440

☒ may be debited from our Deposit Account No. 01-0885.  
☐ is enclosed as check no. \_\_\_\_\_

12. ☒ The Commissioner is authorized to deduct any additional fee amounts due in connection with the filing of this document from Deposit Account No. 01-0885.

To the best of my information and belief, all statements made herein are true, and any attached copy is a true copy of the original document.

Respectfully submitted,

SIGNATURE Martin A. Voet Date: 7/2/2003  
TYPED or PRINTED NAME: Martin A. Voet. REGISTRATION NO. 25,208

## CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX ASSIGNMENT, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 ON April 2, 2003 (Date)

Name of person making deposit: Mary Lou McNown

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

APPENDIX "A" (Page 1)

<u>SERIAL NUMBER</u>	<u>INVENTORS</u>	<u>ALLERGAN NO.</u>
10/104,899	Herbert K. Graham	16897-CIP
10/008,722	Aoki; et al.	16952-CON-DIV5-CIP
10/365,082	Aoki; et al.	16952-CON-DIV5-CIP- CON (BOT)
10/108,714	Regan; et al.	17023-DIV-CIP-CON
09/903,954	Michael E. Garst	17095-FWC-CIP-CON
09/998,358	Teng; et al.	17170-DIV2
10/017,660	Joseph S. Adorante	17219-CIP-CON3
10/116,492	Joseph S. Adorante	17219-CIP-CON4
09/367,712	John Sefton	17224
09/264,531	John Sefton	17235
not assigned	Olejniak; et al	17237-CON2-CIP-CON3
09/329,752	Chow; et al.	17243-CIP2
09/815,362	Chow; et al.	17243-CIP3
09/108,298	Nagpal; et al.	17253
09/294,980	Dolly; et al.	17259
	<b>(only the portion assigned by Roger Aoki)</b>	
09/989,295	Beck; et al.	17273-CON
09/760,133	Firestone; et al.	17278-CON
09/288,326	Sachs; et al.	17282
09/548,409	Sachs; et al.	17282-CIP
10/304,665	Klein; et al.	17276-CIP-CON
09/919,195	Massaro; et al.	17293-DIV
	<b>(only the portion assigned by Chandraratna)</b>	
10/305,049	Massaro; et al.	17294-CON
	<b>(only the portion assigned by Chandraratna)</b>	
09/548,896	Chandraratna; et al.	17295
	<b>(only the portion assigned by Chandraratna)</b>	
09/624,129	Muller; et al.	17300-CIP
09/838,772	Cheetham; et al.	17300-CIP2
10/236,712	Muller; et al.	17300-CIP-CON
10/194,834	Muller; et al.	17301-DIV2
09/590,447	Forman; et al.	17302
	<b>(only that portion assigned by Beard and Chandraratna)</b>	
09/621,179	Chandraratna; et al.	17304
09/371,354	Stephen Donovan	17310
10/114,740	Gregory F. Brooks	17310-CIP
09/648,692	Dolly; et al.	17311
09/500,147	Terrence J. Hunt	17319
10/047,058	Terrence J. Hunt	17319-CIP
10/360,098	Terrence J. Hunt	17319-CIP-CIP

**APPENDIX "A" (Page 2)**

<u>SERIAL NUMBER</u>	<u>INVENTORS</u>	<u>ALLERGAN NO.</u>
10/135,595	Vasudevan; et al.	17321
10/038,215	Evan B. Dreyer	17322-CON
09/692,811	Stephen Donovan	17324
09/810,601	Stephen Donovan	17324-CIP
10/071,826	Donovan; et al.	17326-CIP2
09/552,823	Pacifici; et al.	17327-CIP
10/199,222	Aoki; et al.	17328-CON
09/489,667	Stephen Donovan	17329
09/938,112	Stephen Donovan	17329-DIV
09/625,098	Stephen Donovan	17329-CIP
10/039,520	Beard; et al.	17331-REF
09/533,680	Beard; et al.	17331
09/706,211	Stephen Donovan	17341-DIV
09/706,173	Stephen Donovan	17341-DIV2
09/706,172	Stephen Donovan	17341-DIV3
09/706,215	Stephen Donovan	17341-DIV5
10/017,834	Voet; et al.	17341-CIP2
10/099,238	Voet; et al.	17341-CIP3
09/704,464	Stephen Donovan	17342-DIV2
09/835,949	Stephen Donovan	17342-CON
09/971,869	Stephen Donovan	17342-DIV-CON
09/815,156	Klein; et al.	17346
09/850,835	Kusari; et al.	17347
09/548,315	Chow; et al.	17351
09/778,975	Chow; et al.	17351-CIP
09/561,106	Stephen Donovan	17354
09/904,018	Olejniak; et al.	17361
10/236,566	Olejniak; et al.	17361-CON
10/299,386	Olejniak; et al.	17361-DIV
10/146,224	Old; et al.	17366
10/300,492	Burk; et al.	17373-CON-CIP-CON
10/004,230	Steward; et al.	17376
09/640,852	Nehme; et al.	17377
09/651,235	Vasudevan; et al.	17379
10/079,993	Vasudevan; et al.	17382-DIV
10/364,225	Vasudevan; et al.	17382-DIV2
10/097,368	Vasudevan; et al.	17383-DIV
10/097,315	Vasudevan; et al.	17383-DIV2
10/212,533	Vasudevan; et al.	17386-DIV3
10/104,433	Burk; et al.	17390-CIP
09/847,935	Woodward; et al.	17392
10/155,925	Brooks; et al.	17396-CON
09/751,053	Gil; et al.	17399

APPENDIX "A" (Page 3)

<u>SERIAL NUMBER</u>	<u>INVENTORS</u>	<u>ALLERGAN NO.</u>
10/020,541	Wheeler; et al.	17400
09/998,718	Burke; et al.	17400-CIP
09/726,949	Lin; et al.	17408
10/051,952	Patricia S. Walker	17409-CIP
10/081,126	Gerald W. DeVries	17413
09/848,249	Woodward; et al.	17415
09/848,159	Yuan; et al.	17416
10/131,848	Huth; et al.	17421
09/814,604	Klein; et al.	17425
09/922,226	Zhao; et al.	17432
10/121,076	Robert T. Lyons	17433
09/882,720	Burk; et al.	17437
10/103,301	Burk; et al.	17437-CIP
10/346,828	Burk; et al.	17437-CON
10/294,521	Burk; et al.	17438-DIV
09/956,470	Liang; et al.	17440-CIP
09/918,847	Joshi; et al.	17442
09/904,753	Robert T. Lyons	17445
09/893,159	Woodward; et al.	17446
09/942,098	Steward; et al.	17451
09/942,024	Steward; et al.	17452
10/104,385	Forman; et al.	17453-CIP
09/954,610	Martin A. Voet	17455
10/143,076	Lam; et al.	17456
10/017,817	Chang; et al.	17462
10/016,850	Hughes; et al.	17468
10/016,036	David; et al.	17476
	<b>(only that portion assigned by Robert David)</b>	
10/100,638	Vasudevan; et al.	17485
10/082,691	Stephen Donovan	17486
10/133,094	Stanley W. Huth	17487
10/099,239	Martin A. Voet	17489
10/099,602	Lisa D. Hanin	17493
10/143,078	Stephen Donovan	17500

## ASSIGNMENT

WHEREAS: ALLERGAN, INC., a Delaware corporation, having its principal place of business at 2525 Dupont Drive, Irvine, California 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire right, title and interest in, to and under certain inventions and in, to and under corresponding Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

WHEREAS: On June 3, 2002, ALLERGAN SALES, INC., a California corporation, was merged into ALLERGAN SALES, LLC, a Delaware limited liability company pursuant to the "Agreement and Plan of Merger" filed with the Secretary of State of the State of California and with the Secretary of State of the State of Delaware (copy attached).

WHEREAS: ALLERGAN SALES, LLC, having its principal place of business at 2525 Dupont Drive, Irvine, California 92612 (hereinafter ASSIGNOR) by virtue of the above-mentioned merger owns the entire right, title and interest in, to and under certain inventions, corresponding U.S. patent applications and foreign rights directed thereto.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire right, title and interest in, to and under certain inventions in the United States and its territorial possessions and in all foreign countries to all Letters Patents or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for certain inventions by certain applications set forth in Appendix "A" and any continuation, divisional, renewal, substitute or reissue thereof for the full term or

terms for which the same may be granted; said sale,  
transfer and assignment effective June 3, 2002.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal  
this 31 day of March 2003.

ALLERGAN SALES, LLC

By: Martin A. Voet  
Martin A. Voet  
Assistant Secretary

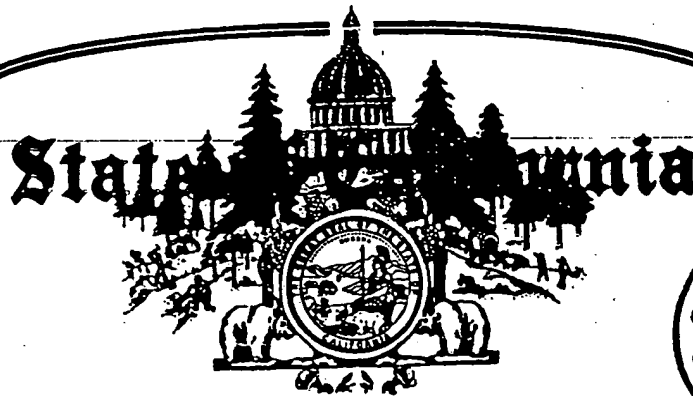
State of CALIFORNIA )  
( ss.  
County of ORANGE )

On March 31, 2003, before me, Mary Lou McNown,  
notary public, personally appeared MARTIN A. VOET  
personally known to me to be the person whose name is  
subscribed to the within instrument and acknowledged to me  
that he executed the same in his authorized capacity, and  
that by his signature on the instrument the person, or the  
entity upon behalf of which the person acted, executed the  
instrument.

WITNESS my hand and official seal.

Mary Lou McNown  
Signature of Notary Public

*Merger  
Agreement*



**SECRETARY OF STATE**

I, **BILL JONES**, Secretary of State of the State of California, hereby certify:

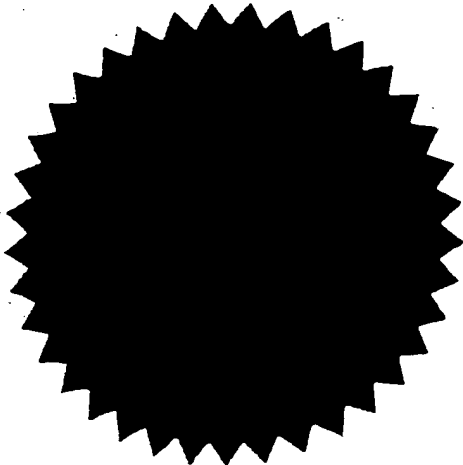
That the attached transcript of 6 page(s) has been compared with the record on file in this office, of which it purports to be a copy, and that it is full, true and correct.

**IN WITNESS WHEREOF**, I execute this certificate and affix the Great Seal of the State of California this day of

**JUN 18 2002**

*Bill Jones*

Secretary of State



**ENDORSED - FILED**  
in the office of the Secretary of State  
of the State of California

# AGREEMENT AND PLAN OF MERGER

BETWEEN

JUN - 3 2002

**ALLERGAN SALES, INC.**  
(a California corporation)

**BILL JONES**, Secretary of State

AND

**ALLERGAN SALES, LLC**  
(a Delaware limited liability company)

**THIS AGREEMENT AND PLAN OF MERGER** is made as of June 3, 2002 (this "Agreement of Merger"), by and between Allergan Sales, Inc., a California corporation (the "Corporation"), and Allergan Sales, LLC, a Delaware limited liability company (the "LLC", and collectively with the Corporation the "Constituent Companies").

**WHEREAS**, the Corporation was incorporated by the filing of Articles of Incorporation with the Secretary of State of the State of California on March 20, 1980; and

**WHEREAS**, the LLC was formed by the filing of a Certificate of Formation with the Secretary of State of the State of Delaware on February 25, 2002, and Allergan, Inc., a Delaware corporation and the sole member of the LLC (the "Member"), has entered into a Limited Liability Company Agreement dated as of February 25, 2002 (the "Operating Agreement");

**NOW, THEREFORE**, the parties hereby agree as follows:

1. Upon the terms and subject to the conditions hereof and in accordance with the California General Corporation Law (the "CGCL") and the Delaware Limited Liability Company Act (the "DLLCA"), the Corporation shall be merged with and into the LLC (the "Merger") at the Effective Time (as hereinafter defined). Following the Merger, the separate existence of the Corporation shall cease, and the LLC shall continue as the surviving entity (the "Surviving Entity") and shall succeed to and assume all of the rights and obligations of the Corporation in accordance with the CGCL and the DLLCA.
2. The parties hereto shall cause the Merger to be consummated by filing this Agreement of Merger, along with a Certificate of Merger, with the Secretary of State of the State of California pursuant to Section 1113 of the CGCL, and by filing a Certificate of Merger (the "Certificate of Merger") with respect thereto with the Secretary of State of the State of Delaware pursuant to Section 18-209 of the DLLCA. When used in this Agreement of Merger, the term "Effective Date" shall mean the date of filing of the Certificate of Merger with the Secretary of State of the State of Delaware.
3. The Merger shall have the effects set forth in Section 1113(i) of the CGCL and Section 18-209(g) of the DLLCA. Without limiting the generality of the foregoing, and subject thereto, at the Effective Time, except as otherwise provided herein, all of the property,

rights, privileges, powers and franchises of the Corporation and the LLC shall rest in the Surviving Entity, and all debts, liabilities and duties of the Corporation and the LLC shall become the debts, liabilities and duties of the Surviving Entity.

4. As of the Effective Time, by virtue of the Merger and without any action on the part of the Member of the LLC, or the shareholders or the Board of Directors of the Corporation, each share of capital stock in the Corporation issued and outstanding immediately prior to the Effective Time shall be canceled and extinguished without consideration. The membership interests of the LLC outstanding immediately prior to the Effective Time shall continue to be outstanding and shall not be affected by the Merger.

5. If, at any time after the Effective Time, the Surviving Entity shall consider or be advised that any deeds, bills of sale, assignments or assurances or any other acts or things are necessary, desirable or proper (a) to vest, perfect or confirm, of record or otherwise, in the Surviving Entity, its right, title or interest in, to or under any of the rights, privileges, powers, franchises, properties or assets of either of the Constituent Companies, or (b) otherwise to carry out the purposes of this Agreement of Merger, the Surviving Entity and its proper authorized representatives shall be authorized to execute and deliver, in the name and on behalf of either of the Constituent Companies, all such deeds, bills of sale, assignments and assurances and do, in the name and on behalf of each of the Constituent Companies, all such other acts and things necessary, desirable or proper to vest, perfect or confirm its right, title or interest in, to or under any of the rights, privileges, powers, franchises, properties or assets of such constituent Company and otherwise to carry out the purposes of this Agreement of Merger.

6. As required by the CGCL, the Surviving Entity hereby agrees to (i) be served in the State of California in any proceeding for the enforcement of an obligation of any Constituent Company and in any proceeding to enforce the rights of any holder of a dissenting interest or dissenting shares in a constituent domestic limited liability company or domestic other business entity; (ii) irrevocably appoint the Secretary of State of the State of California as its agent for service of process, which process may be forwarded to 2525 Dupont Drive, Irvine, California 92612; and (iii) promptly pay the holder of any dissenting interest or dissenting share in a constituent domestic limited liability company or domestic other business entity the amount to which that person is entitled under California law.

IN WITNESS WHEREOF, the undersigned have caused this Agreement of Merger to be executed by their respective officers or representatives thereunto duly authorized as of the date first above written.

**ALLERGAN SALES, INC.,**  
a California corporation

By: 

Jeffrey L. Edwards  
Vice President

By: 

Matthew J. Maletta  
Assistant Secretary

**ALLERGAN SALES, LLC,**  
a Delaware limited liability company

By: ALLERGAN, INC., its Sole Member

By: 

Name: Matthew J. Maletta

Title: Assistant Secretary

**CERTIFICATE OF APPROVAL  
OF  
AGREEMENT AND PLAN OF MERGER**

Jeffrey L. Edwards and Matthew J. Maletta state and certify that:

1. They are the Vice President and Assistant Secretary, respectively, of Allergan Sales, Inc., a California corporation.
2. The Agreement and Plan of Merger in the form attached was duly approved by the Board of Directors and the sole stockholder of the corporation.
3. There is only one class of shares and the total number of outstanding shares is 1,000 shares of Common Stock.
4. Approval of the Agreement and Plan of Merger by the holder of 100% of the outstanding shares of Common Stock was the vote required to approve the Agreement and Plan of Merger. The percentage of the outstanding shares of the corporation's shares entitled to vote on the Agreement of Merger which voted to approve the Agreement of Merger equaled the vote required.
5. No vote of the stockholders of the corporation's parent, Allergan, Inc., was required to approve the Agreement and Plan of Merger.

We further declare under penalty of perjury under the laws of the State of California that the matters set forth in this certificate are true and correct of our own knowledge.

Date: June 3, 2002

  
\_\_\_\_\_  
Jeffrey L. Edwards  
Vice President

  
\_\_\_\_\_  
Matthew J. Maletta  
Assistant Secretary



State of California  
Bill Jones  
Secretary of State

OTHER BUSINESS ENTITY  
CERTIFICATE OF MERGER

(Corporations Code Sections 1113(g)(1) and (2), 6019.1, 8019.1 and 12540.1)

Filing Fee - Please see instructions.

IMPORTANT - Read instructions before completing this form.

This Space For Filing Use Only

1. Name of surviving entity: <b>Allergan Sales, LLC</b>	2. Type of entity: <b>LLC</b>	3. Secretary of State File Number: <b>200216110097</b>	4. Jurisdiction: <b>Delaware</b>
5. Name of disappearing entity: <b>Allergan Sales, Inc.</b>	6. Type of entity: <b>Corporation</b>	7. Secretary of State File Number: <b>C0978306</b>	8. Jurisdiction: <b>California</b>
9. Future effective date, if any:		Month	Day

10. If a vote was required enter the outstanding interests of each class entitled to vote on the merger and the percentage of vote required:	
<u>Surviving Entity</u>	<u>Disappearing Entity</u>
Each class entitled to vote	Each class entitled to vote
<b>Sole Member</b>	<b>Sole Shareholder</b>
Percentage of vote required	Percentage of vote required
<b>100%</b>	<b>1,000 common shares issued 100%</b>

11. The principal terms of the agreement of merger were approved by a vote of the number of interests or shares of each class that equaled or exceeded the vote required.

12. If equity securities of a parent party are to be issued in the merger:  
[ ] No vote of the shareholders of the parent party was required. [ ] The required vote of the shareholders of the parent party was obtained.

SECTION 13 IS ONLY APPLICABLE IF THE SURVIVING ENTITY IS A DOMESTIC LIMITED LIABILITY COMPANY, DOMESTIC LIMITED PARTNERSHIP OR PARTNERSHIP.

13. Requisite changes to the information set forth in the Articles of Organization, Certificate of Limited Partnership or Statement of Partnership Authority of the surviving limited liability company, limited partnership or partnership resulting from the merger. Attach additional pages, if necessary.

SECTION 14 IS APPLICABLE IF THE SURVIVING ENTITY IS AN OTHER BUSINESS ENTITY.

14. Principal business address of the surviving other business entity.

Address: **2525 Dupont Drive** State: **California** Zip: **92612**  
City: **Irvine**

15. Other information required to be stated in the Certificate of Merger by the laws under which each constituent other business entity is organized. Attach additional pages if necessary.

16. Statutory or other basis under which each foreign other business entity is authorized to effect the merger:  
**Delaware Limited Liability Company Act Section 18-209**

17. Number of pages attached, if any: **1**

18. I certify that the statements contained in this document are true and correct of my own knowledge. I declare that I am the person who is executing this instrument, which execution is my act and deed.

See Attached  
Signature of Authorized Person for the Surviving Entity Date

Type or Print Name and Title of Person Signing Date

See Attached  
Signature of Authorized Person for the Surviving Entity Date

Type or Print Name and Title of Person Signing Date

See Attached  
Signature of Authorized Person for the Disappearing Entity Date

Type or Print Name and Title of Person Signing Date

See Attached  
Signature of Authorized Person for the Disappearing Entity Date

Type or Print Name and Title of Person Signing Date

For an entity that is a business trust, real estate investment trust or an unincorporated association, set forth the provision of law or other basis for the authority of the person signing.

ATTACHMENT PAGE  
TO  
OTHER BUSINESS ENTITY  
CERTIFICATE OF MERGER

18. Signature of Authorized person for the Surviving Entity

Dated: June 3, 2002

ALLERGAN SALES, LLC,  
a Delaware limited liability company

ALLERGAN, INC.,  
a Delaware corporation,  
its sole member

By: Matthew J. Maletta

Name: Matthew J. Maletta

Title: Assistant Secretary

Signature of Authorized person for the Disappearing Entity

Dated: June 3, 2002

ALLERGAN SALES, INC.,  
a California corporation

By: Jeffrey L. Edwards

Name: Jeffrey L. Edwards

Title: Vice President

By: Matthew J. Maletta

Name: Matthew J. Maletta

Title: Assistant Secretary



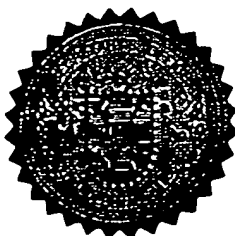
# Delaware

PAGE 1

*The First State*

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"ALLERGAN SALES, INC.", A CALIFORNIA CORPORATION,  
WITH AND INTO "ALLERGAN SALES, LLC" UNDER THE NAME OF  
"ALLERGAN SALES, LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND  
EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED  
AND FILED IN THIS OFFICE THE THIRD DAY OF JUNE, A.D. 2002, AT 9  
O'CLOCK A.M.



*Harriet Smith Windsor*  
Harriet Smith Windsor, Secretary of State

3496059 8100M

020354968

AUTHENTICATION: 1809761

DATE: 06-03-02

**CERTIFICATE OF MERGER  
OF  
ALLERGAN SALES, INC.  
(a California corporation)  
WITH AND INTO  
ALLERGAN SALES, LLC  
(a Delaware limited liability company)**

**(Pursuant to Section 18-209 of the  
Delaware Limited Liability Company Act)**

Pursuant to the provisions of Section 18-209 of the Delaware Limited Liability Company Act ("DLLCA"), the undersigned surviving limited liability company submits the following Certificate of Merger for filing and certifies that:

**FIRST:** The name and jurisdiction of formation or incorporation of the limited liability company and corporation which are parties to the merger (the "constituent entities") are as follows:

<u>Name of Entity</u>	<u>State of Formation or Incorporation</u>
Allergan Sales, Inc.	California
Allergan Sales, LLC	Delaware

**SECOND:** An Agreement and Plan of Merger (the "Merger Agreement") between the constituent entities has been approved and executed by each of the constituent entities which are to merge in accordance with the requirements of Section 18-209 of the DLLCA.

**THIRD:** The name of the surviving limited liability company is: Allergan Sales, LLC (the "Surviving Entity").

**FOURTH:** The merger shall become effective upon filing of this Certificate of Merger.

**FIFTH:** The executed Merger Agreement is on file at the office of the Surviving Entity, the address of which is 2525 Dupont Drive, Irvine, California 92612.

**SIXTH:** A copy of the Merger Agreement will be furnished by the Surviving Entity, on request and without cost, to any member of the Surviving Entity or to any person holding an interest in the entity which is to merge with and into the Surviving Entity.

STATE OF DELAWARE  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
FILED 09:00 AM 06/03/2002  
020354968 - 3496059

IN WITNESS WHEREOF, this Certificate of Merger has been duly executed as of the 3rd day of June, 2002, and is being filed in accordance with Section 18-209 of the DLLCA by a duly authorized person on behalf of Allergan Sales, LLC.

ALLERGAN SALES, LLC,  
a Delaware limited liability company

ALLERGAN, INC.,  
a Delaware corporation,  
its sole member

By: 

Name: Matthew J. Maletta

Title: Assistant Secretary